



Complaints & Appeals Procedure for Exams

2021-2022

THE KING DAVID HIGH SCHOOL

This procedure is reviewed annually to ensure compliance with current regulations

Approved/reviewed by	
Date of next review	October 2022

Key staff involved in the complaints and appeals procedure

Role	Name(s)
Head of centre	Brian Levy
Exams officer	Alison Burton
Senior leader(s)	John Dalziel, Tracy Basger, Andy Cheetham
ALS lead/SENCo	Heidi Greenstein

Purpose of the procedure

This procedure confirms The King David High School compliance with JCQ's **General Regulations for Approved Centres** (section 5.8) that the centre will draw to the attention of candidates and their parents/carers their written complaints and appeals procedure which will cover general complaints regarding the centre's delivery or administration of a qualification.

Grounds for complaint

A candidate (or his/her/parent/carer) may make a complaint on the grounds below (this is not an exhaustive list).

Teaching and learning

- Quality of teaching and learning, for example
 - Non-subject specialist teacher without adequate training/subject matter expertise utilised on a long-term basis
 - Teacher lacking knowledge of new specification/incorrect core content studied/taught
 - Core content not adequately covered
 - Inadequate feedback for a candidate following assessment(s)
- Pre-release/advance material/set task issued by the awarding body not provided on time to an exam candidate
- The taking of an assessment, which contributes to the final grade of the qualification, not conducted according to the JCQ/awarding body instructions
- The marking of an internal assessment, which contributes to the final grade of the qualification, not undertaken according to the requirements of the awarding body (complainant should refer to the centre's *internal appeals procedure*)
- Centre fails to adhere to its *internal appeals procedure*
- Candidate not informed of his/her centre assessed marks prior to marks being submitted to the awarding body
- Candidate not informed of his/her centre assessed marks in sufficient time to request/appeal a review of marking prior to marks being submitted to the awarding body
- Candidate not given sufficient time to review materials to make a decision whether to request a review of centre assessed marks

Access arrangements

- Candidate not assessed by the centre's appointed assessor
- Candidate not involved in decisions made regarding his/her access arrangements
- Candidate did not consent to personal data being shared electronically (by the non-acquisition of a signed *data protection notice/candidate data personal consent form*)
- Candidate not informed/adequately informed of the arrangements in place and the subjects or components of subjects where the arrangements would not apply
- Exam information not appropriately adapted for a disabled candidate to access it
- Adapted equipment put in place failed during exam/assessment
- Approved access arrangement(s) not put in place at the time of an exam/assessment
- Appropriate arrangements not put in place at the time of an exam/assessment as a consequence of a temporary injury or impairment

Entries

- Failure to clearly explain a decision of early entry for a qualification to candidate (or parent/carer)
- Candidate not entered/entered late (incurring a late entry fee) for a required exam/assessment
- Candidate entered for a wrong exam/assessment
- Candidate entered for a wrong tier of entry

Conducting examinations

- Failure to adequately brief candidate on exam timetable/exam regulations prior to exam/assessment taking place
- Room in which exam held did not provide candidate with appropriate conditions for taking the exam

- Inadequate invigilation in exam room
- Failure to conduct exam according to the regulations
- Online system failed during (on-screen) exam/assessment
- Disruption during exam/assessment
- Alleged, suspected or actual malpractice incident not investigated/reported
- Eligible application for special consideration for a candidate not submitted/not submitted to timescale
- Failure to inform/update candidate on the outcome of a special consideration application

Results and Post-results

- Before exams, candidate not made aware of the arrangements for post-results services and the accessibility of senior members of centre staff after the publication of results
- Candidate not having access to a member of senior staff after the publication of results to discuss/make decision on the submission of a review/enquiry
- Candidate request for return of work after moderation and work not available/disposed of earlier than allowed in the regulations
- Candidate (or parent/carer) unhappy with a result (complainant to refer via exams officer to awarding body *post-results services*)
- Candidate (or parent/carer) unhappy with a centre decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal (complainant to refer via The Exams Officer to the centre's *internal appeals procedure*)
- Centre applied for the wrong post-results service/for the wrong script for a candidate
- Centre missed awarding body deadline to apply for a post-results service
- Centre applied for a post-results service for candidate without gaining required candidate consent/permission

Complaints and Appeals Procedure

If a candidate (or his/her parent/carer) has a general concern or complaint about the centre's delivery or administration of a qualification he/she is following, The King David High School encourages him/her to try to resolve this informally in the first instance. [- A concern or complaint should be made in person, by telephone or in writing to the head of centre].

If a complaint fails to be resolved informally, the candidate (or his/her parent/carer) is then at liberty to make a formal complaint.

How to make a formal complaint

If a parent has any complaint / concern regarding their child [or indeed any other complaint] at school, they are invited to call the school office to make an appointment for an **informal meeting** with the Head or Deputy Head. Such a meeting will be arranged within 14 school days.

If the parent is not satisfied and wishes to escalate the complaint, they should write a **formal letter** to the Head Teacher setting out the grounds for their complaint. The Head Teacher will respond within 14 school days.

If the parent or the school feel that a further meeting would be useful, they may request it (in writing) and such a meeting will be held within 14 school days from the date of the request. The school's response will follow within 10 school days.

This complaints procedure covers complaints relating to pupils or indeed any other complaint.

How a formal complaint is investigated

If the parent wishes to escalate the complaint further, they should write to the Chair of Governors (via the school office) setting out the grounds for their complaint and the Chair will arrange to meet the parents within 10 school days. Following that meeting, the Chair will respond within 10 school days.

If the issue is not resolved or if the parent prefers and notifies the Chair in writing, the Governors will arrange for a panel to hear the complaint. The panel will consist of at least three people who were not directly involved in the matters detailed in the complaint, including one panel member who is independent of the management and running of the school. Parents may attend the panel hearing and may be accompanied (if they so wish) by a friend or advisor.

The decision by the panel will be communicated to the parent(s) within 14 school days.

A copy of the panel's findings and recommendations will be provided to the complainant and, where relevant, the person complained about. A copy will also be available for inspection at the school office.

Records of all complaints and outcomes will be kept by the school. Such records will be kept confidential [unless instructed otherwise by the Secretary of State or by a body inspecting under Section 109 of the 2008 Act]

If the complainant has exhausted the school's complaints procedure and is still not happy, they can submit a complaint to the ESFA

Timelines

Parents of King David pupils may lodge a complaint (concerning a KD pupil) within 3 months of the incident concerned. Complaints raised outside this timeframe will only be considered in exceptional circumstances.

Except in exceptional circumstances, parents of former KD pupils should lodge their complaint (latest) within 12 months of the pupil's leaving date.

Appeals

Following the outcome, if the complainant remains dissatisfied and believes there are clear grounds, an appeal can be submitted.

If the issue is not resolved or if the parent prefers and notifies the Chair in writing, the Governors will arrange for a panel to hear the complaint. The panel will consist of at least three people who were not directly involved in the matters detailed in the complaint, including one panel member who is independent of the management and running of the school. Parents may attend the panel hearing and may be accompanied (if they so wish) by a friend or advisor.

- The decision by the panel will be communicated to the parent(s) within 14 school days.

A copy of the panel's findings and recommendations will be provided to the complainant and, where relevant, the person complained about. A copy will also be available for inspection at the school office.

Complaints and Appeals form

FOR CENTRE USE ONLY	
Date received	
Reference No.	

Please tick box to indicate the nature of your complaint/appeal

- Complaint/appeal against the centre's delivery of a qualification
- Complaint/appeal against the centre's administration of a qualification

Name of complainant/appellant	
Candidate name if different to complainant/appellant	

Please state the grounds for your complaint/appeal below:

If your complaint is lengthy please write as bullet points; please keep to the point and include relevant detail such as dates, names etc. and provide any evidence you may have to support what you say

Your appeal should identify the centre's failure to follow procedures as set out in the relevant policy, and/or issues in teaching and learning which have impacted the candidate

If necessary, continue on an additional page if this form is being completed electronically or overleaf if hard copy being completed

Detail any steps you have already taken to resolve the issue(s) and what you would consider to be a good resolution to the issue(s)

Complainant/appellant signature:

Date of signature:

This form must be completed in full - an incomplete form will be returned to the complainant/appellant

Complaints and Appeals log

Ref No.	Date received	Complaint or Appeal	Outcome	Outcome date